

## Assignment 12.

This homework is due *Thursday*, November 21.

Collaboration is welcome. If you do collaborate, make sure to write/type your own paper and *credit your collaborators*. Your solutions should contain full proofs. Bare answers will not earn you much. Extra problems is due December 6.

Problems 8 and 9 are optional, meaning that they will only go to the numerator of your grade (not because they are any harder than other problems, but rather because this assignment looks a bit too large). Altogether, you can go as high as 140% on this homework.

- (1) (a) (9.3.32i) For a nonempty subset  $E$  of a metric space  $(X, \rho)$  and a point  $x \in X$ , define the distance from  $x$  to  $E$ ,  $\text{dist}(x, E)$  as follows:

$$\text{dist}(x, E) = \inf\{\rho(x, y) \mid y \in E\}.$$

Show that the distance function  $f : X \rightarrow \mathbb{R}$  defined by  $f(x) = \text{dist}(x, E)$ , for  $x \in X$ , is continuous.

- (b) (9.3.32ii) Show that  $\{x \in X \mid \text{dist}(x, E) = 0\} = \bar{E}$ .  
 (c) (9.3.34) Show that a subset  $E$  of a metric space  $X$  is closed if and only if there is a continuous function  $f : X \rightarrow \mathbb{R}$  for which  $E = f^{-1}(0)$ .  
 (d) (9.3.33) Show that a subset  $E$  of a metric space  $X$  is open if and only if there is continuous function  $f : X \rightarrow \mathbb{R}$  for which  $E = \{x \in X \mid f(x) > 0\}$ .
- (2) (9.4.38) In a metric space  $X$ , show that a Cauchy sequence converges if and only if it has a convergent subsequence.
- (3) (~9.4.39) Let  $0 < \alpha < 1$ . Suppose that  $\{x_n\}$  is a sequence in a complete metric space  $(X, \rho)$  and for each  $n$ ,  $\rho(x_n, x_{n+1}) \leq \alpha^n$ . Show that  $\{x_n\}$  converges. Does  $\{x_n\}$  necessarily converge if we only require that for each  $n$ ,  $\rho(x_n, x_{n+1}) \leq 1/n$ ?
- (4) (10.3.33) Let  $(X, \rho)$  be a *compact* metric space and  $T$  a mapping  $X \rightarrow X$  such that

$$\rho(T(u), T(v)) < \rho(u, v) \text{ for all } u \neq v \in X.$$

Show that  $T$  has a unique fixed point. (*Hint: Option 1:* Use Extreme Value theorem directly. *Option 2:* Show that if there are no fixed points, the function  $\rho(T(u), T^2(u))/\rho(u, T(u))$  from  $X$  to  $\mathbb{R}$  is continuous and therefore reaches its maximum. Then follow the proof of Banach Contraction Principle using Problem 3.)

The problems below can be found in the Section 10.2 of textbook.

- (5) (a) Recall that in Problem 5 of Homework 11 we defined interior  $\text{int } E$ , exterior  $\text{ext } E$  and boundary  $\text{bd } E$  of a subset  $E$  of a metric space. Show that for every subset  $E$  of a metric  $X$ ,  $X = \text{int } E \cup \text{ext } E \cup \text{bd } E$  and the union is disjoint.
- (b) Recall that a subset  $A$  of a metric space  $X$  is called *dense* in  $X$  if every nonempty open subset of  $X$  contains a point of  $A$ . Further, a subset of a metric space  $X$  is called *hollow* in  $X$  if it has empty interior. Show that for a subset  $E$  of a metric space  $X$ ,  $E$  is hollow in  $X$  if and only if  $X \setminus E$  is dense in  $X$ .

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- (6) Prove the following theorem:  
*(The Baire Category Theorem.)* Let  $X$  be a complete metric space. Let  $\{\mathcal{O}_n\}$  be a countable collection of open dense subsets of  $X$ . Then the intersection  $\bigcap_{n=1}^{\infty} \mathcal{O}_n$  also is dense.  
*(Hint:* You need to show that an arbitrary open ball  $B(x_0, r_0)$  contains a point of  $\bigcap_{n=1}^{\infty} \mathcal{O}_n$ . Start by saying that  $B(x_0, r_0) \cap \mathcal{O}_1$  is nonempty (why) and open (why), therefore contains an open ball  $B(x_1, r_1)$  and a smaller closed<sup>1</sup> ball  $B_1 = \overline{B}(x_1, r_1/2)$ . Repeat argument with the open ball  $B(x_1, r_1/2)$  and  $\mathcal{O}_2$ , and so on. Get a descending sequence of closed balls  $B_1, B_2, \dots$ . Apply the Cantor Intersection Theorem.)
- (7) Prove the following theorem:  
*(The Baire Category Theorem.)* Let  $X$  be a complete metric space. Let  $\{F_n\}$  be a countable collection of closed hollow subsets of  $X$ . Then the union  $\bigcup_{n=1}^{\infty} F_n$  is also hollow.  
*(Hint:* Apply Problem 5b to the assertion of Problem 6.)
- (8) Let  $X$  be a complete metric space and  $\{F_n\}$  a countable collection of closed subsets of  $X$ . If  $\bigcup_{n=1}^{\infty} F_n$  has nonempty interior (for example, if  $\bigcup_{n=1}^{\infty} F_n = X$ ), prove that at least one of the  $F_n$ 's has nonempty interior.  
*(Hint:* Pass to appropriate closed subset of  $X$ . Use Problem 7.)  
 The above result is also called Baire Category Theorem.
- (9) Prove the following theorem.  
 Let  $\mathcal{F}$  be a family of continuous real-valued functions on a complete metric space  $X$  that is pointwise bounded in the sense that for each  $x \in X$ , there is a constant  $M_x$  for which

$$|f(x)| \leq M_x \text{ for all } f \in \mathcal{F}.$$

Then there is nonempty open subset  $\mathcal{O}$  of  $X$  on which  $\mathcal{F}$  is uniformly bounded in the sense that there is a constant  $M$  for which

$$|f| \leq M \text{ on } \mathcal{O} \text{ for all } f \in \mathcal{F}.$$

*(Hint:* Define  $E_n = \{x \in X : |f(x)| \leq n \text{ for all } f \in \mathcal{F}\}$ . Use Problem 8.)

## 1. EXTRA PROBLEMS

- (10) (10.2.20) Let  $F_n$  be the subset of  $C[0, 1]$  consisting of functions for which there is a point  $x_0$  in  $[0, 1]$  such that  $|f(x) - f(x_0)| \leq n|x - x_0|$  for all  $x \in [0, 1]$ .
- Show that  $F_n$  is closed.
  - Show that  $F_n$  is hollow. *(Hint:* Show that for  $f \in C[0, 1]$  and  $r > 0$ , there is a piecewise linear "saw-like" function  $g \in C[0, 1]$  for which  $\rho_{\infty}(f, g) < r$  and the left-hand and right-hand derivatives of  $g$  on  $[0, 1]$  are greater than  $n + 1$ .)
  - Conclude by Baire Category theorem that  $C[0, 1] \neq \bigcup_{n=1}^{\infty} F_n$ .
  - Show that each  $h \in C[0, 1] \setminus \bigcup_{n=1}^{\infty} F_n$  is not differentiable at any point in  $[0, 1]$ . *(Hint:* If  $f$  is differentiable at  $x_0$  and continuous on  $[0, 1]$ , then  $|f(x) - f(x_0)|/|x - x_0|$  is bounded "close" to  $x_0$  by differentiability, and bounded "far" from  $x_0$  by boundedness of  $f$  on  $[0, 1]$ ; so it belongs to some  $F_n$ .)

NOTE. Congratulations, you proved that there are continuous functions on  $[0, 1]$  that are not differentiable *anywhere*. Moreover, you proved that the set of such functions is *dense* in  $C[0, 1]$ .

- (11) Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be continuous and have derivatives of all orders. Suppose that for each  $x \in \mathbb{R}$ , there is index  $n = n(x)$  for which  $f^{(n)}(x) = 0$ . Show that  $f$  is a polynomial. *(Hint:* Use Baire Category Theorem.)  
 COMMENT. If you know that  $n$  is the same for all  $x$ , the statement easily follows by calculus.

<sup>1</sup>A closed ball  $\overline{B}(x, r)$  is the set  $\{y \in X \mid \rho(x, y) \leq r\}$ . Show that it is a closed set.